## Quarter Ending 3/31/04

## CHARGES, FINDINGS, CCA WITH VIOLATION, AND CCA WITHOUT VIOLATION

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	CONFIDENTIAL CONSENT AGREEMENT WITHOUT VIOLATION	TOTAL # of ALLEGATIONS
Counseling	Certified Substance Abuse Counselor	HIPDB Basis for Action Codes		1				
Couriseiing	Certified Substaince Abuse Couriseion	Relationship-Inappropriate		1				
	Certified Substance Abuse Counselor To	tal		2				
	Licensed Professional Counselor	Business Practices/Issues	2					
		HIPDB Basis for Action Codes	_	2				
		Other	1					
		Relationship-Inappropriate		1	1			
		Required Report Not Filed		1				
		Standard of Care-Treatment Related	1					
	Licensed Professional Counselor Total		4	4	1			
Counseling Total			4	6				
Dentistry	Dental Hygienist	Drug Related-Obtaining Drugs by Fraud		2				
	· · · · · · · · · · · · · · · · ·	HIPDB Basis for Action Codes		4				
		Licensure Eligibility		2				
	Dental Hygienist Total	, , , , , , , , , , , , , , , , , , ,		8				
	Dentist	Abandonment	1					
		Abuse		1				
		Advertising-deceptive/misleading	2	4				
		Business Practices/Issues	6					
		Compliance		2				
		Confidentiality-Breach	1					
		Criminal Activity/Conviction		1				
		Drug Related-Personal Use			1			
		Fraud		3				
		HIPDB Basis for Action Codes		26				
		Inability Safely Prac-Impairment		2				
		Other	1					
		Prescription Blanks	1					
		Records Release			2			
		Standard of Care-Diagnosis Related			1			
		Standard of Care-Med/Prescrip Related	1					
		Standard of Care-Other	1					
		Standard of Care-Treatment Related	12		2	2		2
		Unlicensed Activity	2			1		
	Dentist Total		28	54				(
Dentistry Total			28		6	3		(
Funeral Directing	Funeral Establishment	Advertising-deceptive/misleading	1					
		Business Practices/Issues	3		2			
		Compliance		2				
		Licensure Eligibility			1			
		Other			1			
		Records/Inspections/Audits		3				
		Unlicensed Activity			1			

Funeral Directing	Funeral Establishment Total		4	5	7		16
	Funeral Service Provider	Business Practices/Issues	5	1	2		8
		Compliance			1		1
		Confidentiality-Breach	1				1
		Criminal Activity/Conviction	1	1			2
		Drug Related-Personal Use			1		1
		Fraud		2			2
		Records/Inspections/Audits		5			5
		Unlicensed Activity	1	1			2
	Funeral Service Provider Total		8	10	4	<u> </u>	22
Funeral Directing Total	•		12	15	11		38
Medicine	ACUPUNCTURE	Unlicensed Activity	1				1
	ACUPUNCTURE Total		11				1
	CHIROPRACTOR	Abuse		2			2
		Action by Another Board/Entity	1	1			2
		Advertising-deceptive/misleading	1		2		3
		Business Practices/Issues	1				1
		Compliance	1				1
		Criminal Activity/Conviction		1			1
		Fraud	2		1		3
		HIPDB Basis for Action Codes		4			4
		Standard of Care-Consent Related	1				1
		Standard of Care-Treatment Related	1		4		5
	CHIROPRACTOR Total		8	8	7		23
	INTERNS & RESIDENTS	Business Practices/Issues	1				1
		Inability Safely Prac-Impairment			1		1
		Standard of Care-Treatment Related	1				1
	INTERNS & RESIDENTS Total		2	<u>.</u>	1	<u> </u>	3
	MEDICINE & SURGERY	Abandonment	4		1		5
		Abuse	2	1	2		5
		Action by Another Board/Entity	1	3	8		12
		Advertising-deceptive/misleading			2		2
		Business Practices/Issues	16	2	5		23
		Compliance	1	4	2		7
		Confidentiality-Breach	2		3		5
		Criminal Activity/Conviction	1	7	1		9
		Dishonored Check		1			1
		Drug Related-Excessive Rx/Dispensing	1	9	6		16
		Drug Related-Obtaining Drugs by Fraud			1		1
		Drug Related-Other		4	1		5
		Drug Related-Personal Use		1			1
		Fraud	8	1	5		14
		HIPDB Basis for Action Codes		36			36
		Inability Safely Prac-Impairment			1		1
		Inability Safely Prac-Incapacitated		1			1
		Inability To Safely Practice-Other		1			1
		Neglect	7		3		10
		Other	7		3		10
		Prescription Blanks			2		2
		Records Release	9		3		12
		Reinstatement	2	5	1		8
		Relationship-Inappropriate	2	3	4		9
		Required Report Not Filed	3	1	11	113	128
		Self-Referral of Patients			2		2
		Standard of Care-Consent Related	2				2
ļ		Standard of Gare-Constitutional	4				

Medicine	MEDICINE & SURGERY	Standard of Care-Diagnosis Related	19		11		3
		Standard of Care-Malpractice Reports	2		17		
		Standard of Care-Med/Prescrip Related	2				
		Standard of Care-Other	2		3		
		Standard of Care-Surgery Related	10				
		Standard of Care-Treatment Related	131	1	36		1
		Supervision-Neglect	1		1		.,
		Unlicensed Activity	1	1	•		
		zzz Unprofessional Conduct		1			
	MEDICINE & SURGERY Total	ZZZ OTIPIOIESSIOTIAI COTIQUE	236	87	156	113	59
	OCCUPATIONAL THERAPIST	Unlicensed Activity	1		130	113	- 00
	OCCUPATIONAL THERAPIST Total	Childen Activity	1				
	OSTEOPATHY & SURGERY	Advertising-deceptive/misleading			1		
	SOLES! WILL A SOUGEKI	Fraud	1		•		
		Required Report Not Filed				2	
		Standard of Care-Surgery Related	1				
		Standard of Care-Treatment Related	5		2		
		Unlicensed Activity	1				
	OSTEOPATHY & SURGERY Total	Officerised Activity	8		3	2	1
	PHYSICIAN ASSISTANT	Abuse			1		<u>'</u>
	THOOTAIN AGGIGTAIN	Action by Another Board/Entity		1	1		
		HIPDB Basis for Action Codes		1			
		Other	1				
		Prescription Blanks	ı		1		
		Standard of Care-Med/Prescrip Related			1		
		Standard of Care-Med/Prescrip Related Standard of Care-Treatment Related	3		1		
		Unlicensed Activity	1				
	PHYSICIAN ASSISTANT Total	Officerised Activity	5	2	3		1
	PODIATRY	Action by Another Board/Entity	5	1			
	FODIATRI	Business Practices/Issues	1		1		
		Criminal Activity/Conviction	1	1			
		Fraud			1		
		HIPDB Basis for Action Codes		1	'		
		Required Report Not Filed		1	1	4	
		Standard of Care-Diagnosis Related	1		I	4	
			I		1		
		Standard of Care-Malpractice Reports	4		1		
		Standard of Care-Surgery Related	1				
	DODIATRY Total	Standard of Care-Treatment Related	3		7	1	
	PODIATRY Total RADIOLOGIC TECHNOLOGIST	Confidentiality-Breach	6	4	1	4	2
	KADIOLOGIC TECHNOLOGIST	Drug Related-Obtaining Drugs by Fraud					
		HIPDB Basis for Action Codes		4	1		
		Unlicensed Activity		4			
	RADIOLOGIC TECHNOLOGIST Tota			8	2		1
	RESPIRATORY CARE PRACTITION			1		1	
	RESPIRATOR CARE PRACTITIONS	Dishonored Check		2			
		HIPDB Basis for Action Codes		9			
		HPIP Dismissal		1			
		Inability Safely Prac-Impairment		1			
	DECDIDATORY CARE DRACTITION	Unlicensed Activity		6			
Maraliaina T. 1	RESPIRATORY CARE PRACTITIONI	EK TOTAL	0.07	20	470	110	0
Medicine Total	Nives Aids	Abandanmant	267	129	179	119	6
Nurse Aide	Nurse Aide	Abuse	4				
		Abuse	58		18		
		Business Practices/Issues	2				

Nurse Aide	Nurse Aide	Compliance			1	1
Nuise Alde	Nurse Alde			4	<u>'</u>	! F
		Criminal Activity/Conviction		4	1	5
		Dishonored Check		12		12
		Drug Related-Obtaining Drugs by Fraud		3		3
		Drug Related-Personal Use	1		2	3
		Fraud	1	6	1	8
		HIPDB Basis for Action Codes		42		42
		Inability Safely Prac-Impairment		1		1
		Misappropriation of Property		1	5	6
		Neglect	21	2	5	28
		Other	7	_		7
		Reinstatement		4		4
		Standard of Care-Other	1	4		5
		Standard of Care-Orner Standard of Care-Treatment Related	42	2	4	48
		Unlicensed Activity	42	1	1	2
	Nurse Aide Total	Officerised Activity	407		40	271
N. A.I. T. I.	Nurse Aide Total		137	94		271
Nurse Aide Total	To 22 114 77 14	Lat	137	94	40	271
Nursing	Certified Massage Therapist	Abuse	1			1
		HIPDB Basis for Action Codes		2		2
		Licensure Eligibility		1		1
		Relationship-Inappropriate	1			1
		Unlicensed Activity		1		1
	Certified Massage Therapist Total		2	4		6
	Licensed Nurse Practitioner	Drug Related-Obtaining Drugs by Fraud		2		2
		Drug Related-Personal Use		2		2
		Fraud	1	2		3
		HIPDB Basis for Action Codes		6		6
		HPIP Dismissal		2		2
		Inability Safely Prac-Impairment		2		2
		Prescription Blanks			15	15
		Standard of Care-Med/Prescrip Related	1		15	13
		Standard of Care-Med/Prescrip Related	3			3
		Unlicensed Activity	1			3
	Linear ad Nivera Departition on Tatal	Unlicensed Activity	•	40	45	07
	Licensed Nurse Practitioner Total	Tal	6	16	15	 37
	Licensed Practical Nurse	Abandonment	2	1		3
		Abuse	7			7
		Action by Another Board/Entity		3	1	4
		Compliance	1	4	7	12
		Criminal Activity/Conviction		1	1	2
		Dishonored Check		9		9
		Drug Related- Security			1	1
		Drug Related-Obtaining Drugs by Fraud		9		9
		Drug Related-Personal Use	1	5	7	13
		Fraud	1	3	1	5
		HIPDB Basis for Action Codes		42		42
		HPIP Dismissal		2	1	3
		Inability Safely Prac-Impairment		5		5
		Inability Safely Prac-Incapacitated		-	1	1
		Misappropriation of Property			1	1
		Neglect	4	4	1	9
		Other	3	4	T	3
			3	2	4	3
		Reinstatement		3	1	4
		Required Report Not Filed			1	1
		Standard of Care-Malpractice Reports		1		1
		Standard of Care-Med/Prescrip Related	1	2		3

ursing	Licensed Practical Nurse	Standard of Care-Treatment Related	7	3	1		11
		Supervision-Neglect	1				1
		Unlicensed Activity	2	3			5
	Licensed Practical Nurse Total	·	30	100	25		155
	Registered Nurse	Abandonment	1	1			2
		Action by Another Board/Entity		1	5		6
		Business Practices/Issues	2				2
		Compliance		6	15		21
		Confidentiality-Breach	1				1
		Criminal Activity/Conviction		2	1		3
		Dishonored Check		8			8
		Drug Related- Security		1			1
		Drug Related-Obtaining Drugs by Fraud	3	10	2		15
		Drug Related-Personal Use	5	1	13		19
		Fraud	1	5			6
		HIPDB Basis for Action Codes		44	6		50
		HPIP Dismissal	2	5	6		13
		Inability Safely Prac-Impairment	1	5	1		7
		Inability Safely Prac-Incapacitated		-	1		1
		Inability To Safely Practice-Other	1		-		1
		Neglect		1	1		2
		Other	1				1
		Reinstatement		6	2		8
		Standard of Care-Med/Prescrip Related	1	1			2
		Standard of Care-Treatment Related	3		1		4
		Unlicensed Activity	3		1		1
	Registered Nurse Total	Crimocrised Activity	22	97	55		174
Nursing Total	registored reals		60	217	95		372
Nursing Home Administrator	Nursing Home Administrator	Fraud	1				1
		HIPDB Basis for Action Codes		1			1
		Misappropriation of Property	1				1
		Neglect	1				1
		Records/Inspections/Audits		1			1
		Standard of Care-Other	1			1	2
		Standard of Care-Treatment Related	1				1
	Nursing Home Administrator Total		5	2		1	8
Nursing Home Administrator			5	2		1	8
Optometry	Optometrist	Advertising-deceptive/misleading				1	1
'	•	Business Practices/Issues	3				3
		Compliance		2			2
		Cont'd Competency Reqnot met	4			1	2
			1				4
1		Fraud	1				1
		Fraud	•	2			2
		Fraud HIPDB Basis for Action Codes	•	2			2
		Fraud HIPDB Basis for Action Codes Inability To Safely Practice-Other	1	2			1 2 1
		Fraud HIPDB Basis for Action Codes Inability To Safely Practice-Other Standard of Care-Consent Related	1 1 1	2			1 2 1 1 1 3
		Fraud HIPDB Basis for Action Codes Inability To Safely Practice-Other Standard of Care-Consent Related Standard of Care-Treatment Related	1 1 1 3	2			1 2 1 1 3
	Optometrist Total	Fraud HIPDB Basis for Action Codes Inability To Safely Practice-Other Standard of Care-Consent Related	1 1 1 3 3 1			2	1 2 1 1 3 1
Optometry Total	Optometrist Total	Fraud HIPDB Basis for Action Codes Inability To Safely Practice-Other Standard of Care-Consent Related Standard of Care-Treatment Related	1 1 1 3	2 4 4		2 2	1 2 1 1 3 1 177
Optometry Total Pharmacy		Fraud HIPDB Basis for Action Codes Inability To Safely Practice-Other Standard of Care-Consent Related Standard of Care-Treatment Related Unlicensed Activity	1 1 1 3 1 1	4 4	1		17
Optometry Total Pharmacy	Optometrist Total Pharmacist	Fraud HIPDB Basis for Action Codes Inability To Safely Practice-Other Standard of Care-Consent Related Standard of Care-Treatment Related Unlicensed Activity  Action by Another Board/Entity	1 1 1 3 1 1	4 4 1			17
		Fraud HIPDB Basis for Action Codes Inability To Safely Practice-Other Standard of Care-Consent Related Standard of Care-Treatment Related Unlicensed Activity  Action by Another Board/Entity Business Practices/Issues	1 1 1 3 1 11 11	4 4 1 8	1		17
		Fraud HIPDB Basis for Action Codes Inability To Safely Practice-Other Standard of Care-Consent Related Standard of Care-Treatment Related Unlicensed Activity  Action by Another Board/Entity Business Practices/Issues Compliance	1 1 1 3 1 1	4 4 1 8 1			17 2 9 4
		Fraud HIPDB Basis for Action Codes Inability To Safely Practice-Other Standard of Care-Consent Related Standard of Care-Treatment Related Unlicensed Activity  Action by Another Board/Entity Business Practices/Issues	1 1 1 3 1 11 11	4 4 1 8	1		17 2 9

Disp Related Personal Use	Pharmacy	Pharmacist	Drug Related-Obtaining Drugs by Fraud		2				
HIPDB Basis for Action Codes	Паппасу	Tiamacist							1
HPIP Damissal									I
Records/inspections/Audits									40
Reinstatement					•				1
Standard of Care-Meth/Prescrip Related   2   10   1   6   1   1   1   1   1   1   1   1						1			5
Pharmacy   1									2
Pharmacy				2		1	6		19
Pharmacy			Unlicensed Activity		2			1	3
HIPDB Basis for Action Codes   2		Pharmacist Total		4	88	13	16	1	122
Records/Inspections/Audits		Pharmacy	Business Practices/Issues		1				1
Records/Inspections/Audits			HIPDB Basis for Action Codes		2				2
Pharmacy Total			Records/Inspections/Audits		1				1
Pharmacy Intern   Drug Related-Obtaining Drugs by Fraud   1					1				1
Pharmacy Intern   Drug Related-Obtaining Drugs by Fraud   1		Pharmacy Total							5
Pharmacy Intern Total			Drug Related-Obtaining Drugs by Fraud			1			1
Pharmacy Technician			Brug Related Obtaining Brugs by Fraud			•			1
HIPDB Basis for Action Codes			Drug Polated Personal Lies		1				1
Pharmacy Total		Filannacy recinician	HIDDE Pasis for Action Codes						1
Pharmacy   Total		Dharmany Tachnician Tatal	HIPDS Basis for Action Codes						
Physical Therapy	Dharma ay Tatal	глаппасу тесппісіан тотаі				1.1	40	4	130
Drug Related-Personal Use		Dhariant Thomasint	Abore	<u> </u>	95	14	10	1	
Standard of Care-Treatment Related	Physical Therapy	Physical Therapist							2
Physical Therapist Total									1
Physical Therapy Total			Standard of Care-Treatment Related						1
Susiness Practices/Issues		Physical Therapist Total		<u> </u>					4
HIPDB Basis for Action Codes   1									4
Records Release   3	Psychology	Clinical Psychologist		1					1
Relationship-Inappropriate   1					1				1
Standard of Care-Treatment Related   2				3					3
Clinical Psychologist Total   6			Relationship-Inappropriate		1				1
Clinical Psychologist Total   6			Standard of Care-Treatment Related	2		1			3
Social Work   Licensed Clinical Social Worker   Abandonment   Business Practices/Issues   2   1		Clinical Psychologist Total		6	2	1	<u>.</u>		ç
Licensed Clinical Social Worker   Abandonment   Business Practices/Issues   2   1	Psychology Total	, ,		6	2	1			ç
Confidentiality-Breach	Social Work	Licensed Clinical Social Worker	Abandonment		1				1
Confidentiality-Breach			Business Practices/Issues	2		1			3
HIPDB Basis for Action Codes   6   Records Release   2				_		1			1
Records Release   2					6				6
Relationship-Inappropriate   3   1				2	0				2
Standard of Care-Treatment Related   2					2	1			4
Licensed Clinical Social Worker Total   6				2					- 6
Speech Pathology/Audiology   Speech Pathologist   Fraud   1     Speech Pathologist   Speech Pathologist   Speech Pathologist Total   1   Speech Pathologist Total   Speech Pathology/Audiology Total   Veterinary Medicine   Speech Pathology/Audiology Total   Veterinarian   Business Practices/Issues   3   Speech Pathology/Audiology Total   Speech Pathology/Audiology Total   Speech Pathology/Audiology Total   Speech Pathologist   Spee		Licensed Clinical Social Worker Total							23
Speech Pathology/Audiology   Speech Pathologist   Fraud   1	Social Work Tatal	Licensed Clinical Social Worker Total							23
Speech Pathologist Total   1   1   1   1   1   1   1   1   1		On a sale Dath als sist	Ird	0		В			
Veterinary Medicine   Veterinarian   Business Practices/Issues   3	Speech Pathology/Audiology		riaud						1
Veterinary Medicine         Veterinarian         Business Practices/Issues         3         1	On a sele Dath at 100 to				1				1
Compliance					1				1
Confidentiality-Breach	Veterinary Medicine	Veterinarian		3					3
Records/Inspections/Audits   3					1				1
Standard of Care-Med/Prescrip Related   3			Confidentiality-Breach	1					1
Standard of Care-Treatment Related         12         5           Unlicensed Activity         1            zzz Facility Violation         3            Veterinarian Total         20         12					3				3
Standard of Care-Treatment Related         12         5           Unlicensed Activity         1            zzz Facility Violation         3            Veterinarian Total         20         12			Standard of Care-Med/Prescrip Related	3					3
Unlicensed Activity				12	5				17
zzz Facility Violation 3 Veterinarian Total 20 12									1
Veterinarian Total 20 12					3				3
		Veterinarian Total		20					32
	Veterinary Medicine Total	T. S. Silinanan Total		20	12				32

Grand Total	564	650	353	141	1	1709